



**THE TA FORUM**  
AML Working Group

## FRAUD NOTIFICATION

This pro-forma should be used by TA Forum member firms to share relevant information about fraud trends with other firms for the prevention and detection of crime and the apprehension and prosecution of offenders. This template must not contain any identifying information about the fraud victim(s), but can contain details of the fraudster(s) under the exemption provided by Schedule 2 (Part 1, Section 2 (1)) of the Data Protection Act 2018.

### Fraud Summary

Scenario:	
How detected:	

### Generic Information about the victim (or their class/type)

Town:		
County:		Country:
Any characteristics that made the victim more susceptible / vulnerable: (e.g. POA in place, PO Box Address, Returned Mail etc):		

### Detailed Information about the Fraudster: Important: Investor details must **NOT** be provided here.

Name(s):		
Full Address(es):		
Telephone Number(s):		Email Address(es):
Bank Account(s):		

### Dissemination

Include in Monthly Report (where risk is considered low)		Immediate Reporting (where risk is considered very high)	
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(Indicate with 'X' in relevant box)

### Completed By

Name:		Position:	
Email:		Date:	

Completed forms should be emailed to [enquiries@thetforum.co.uk](mailto:enquiries@thetforum.co.uk).

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